



321 Reed St, Suite #2  
Akron, IA 51001  
888.300.4975  
<https://rdafn.com>

---

## **Privacy Policy**

### **March 31, 2025**

**A Registered Investment Adviser Firm**

**IARD/CRD Number: 147309**  
**SEC Number: 801-69651**

---

## **HOW WE USE AND PROTECT PERSONAL INFORMATION**

To RDA Financial Network, Inc., protecting your privacy is critically important. We value your trust, and we want you to understand what information we collect, how we protect it, and how we use it. We treat protected confidential information – nonpublic information that identifies you – with respect, and in accordance with this privacy policy and RDA Financial Network's cyber security program.

## **INFORMATION WE MAY COLLECT**

We may obtain information, much of which comes directly from you, to provide you with products and services you have requested and as we deem appropriate, to determine your eligibility for products and services. We may collect identification and contact information, as well as transaction and investment experience information from applications, transactions with others and us, and from outside companies.

## **EXAMPLES OF THE SOURCES AND TYPES OF INFORMATION WE MAY COLLECT**

### **INCLUDE:**

- Information about the products and services you purchase through us, including copies or applications, registration forms, or other forms, containing your name, address, telephone numbers, social security number, email addresses, investment objectives, income, assets, employment information, and accounts with others.
- Information regarding your brokerage and/or investment advisory transaction history with us, our service providers, such as clearing firms, mutual fund companies, insurance companies, or other companies that provide you with financial products or services including your former broker/dealer or its registered representatives, your current registered representative and their affiliated business.
- Information that other companies who assist us in marketing our own products and services or products we offer jointly with other financial institutions may have, such as your name, address, and telephone number.
- Information under the USA Patriot Act enacted by the United States Treasury Department and Congress to combat terrorism (Investor notification is available upon request).

## **INFORMATION WE MAY DISCLOSE AND TO WHOM WE MAY DISCLOSE INFORMATION**

RDA Financial Network, Inc. will not disclose your personal information to any company or organization not affiliated with us. Certain companies as outlined below have been granted exception for the purposes of expanding our offerings and services. In these instances, we may disclose some or all your protected confidential information collected, as described above, to our staff, affiliates, representatives, their affiliated businesses, insurance companies, brokers, banks, Broker/Dealers and investment advisors that provide services to you on our behalf, in the following types of situations:

- Providing administrative, customer assistance, clearing, operational, and other services.
- Preparing, printing, and delivering portfolio management performance reports, confirmation statements, and other documents.
- Executing securities transactions.
- Maintaining or developing software for us; and a financial institution (such as banks, investment advisors, or securities firms) with which we have joint marketing arrangements to jointly endorse or offer financial products or services. It is prohibited for RDA Financial Network, Inc. to sell your protected confidential information to unaffiliated third parties.

- Third party asset managers with whom we have a relationship for the management of customer accounts.
- State, Federal, and other regulatory and self-regulatory authorities as required by law or regulation.
- In certain situations, we may share your information with parties that are not affiliated with us, such as client relationship managers, performance reporting, and financial planning firms.

We recognize that you have elected to open accounts with us due to the efforts of your Rep/Advisor. If he or she elects to leave our firm for another registered investment advisory firm, we may allow them to take your confidential information with them to the new firm, subject to the requirements or limitations of applicable law. Client information may also be shared with a non-RDAFN affiliated representative and their firm if a RDAFN Rep/Advisor has entered into an agreement to sell all or some portion of their securities, advisory or insurance business to the non-RDAFN affiliated representative.

#### **DISCLOSURES PERMITTED BY LAW**

We may also disclose all the information we collect as permitted or required by law. For example, we may disclose information to law enforcement agencies or insurance and securities regulatory agencies.

#### **SECURING YOUR INFORMATION**

Our staff, affiliates, representatives, and their staff are required to follow our policies and procedures to keep your information secure, confidential, and prevent unauthorized use of your Protected Confidential Information. Our physical, electronic, and procedural safeguards have been reasonably designed to guard your protected confidential information.

**INSTRUCTIONS ON HOW TO NOTIFY US:**

For any questions regarding this policy, please contact your Investment Advisor Representative or call the RDA Financial Network Managing Principal at 888.300.4975. Our home office is located at 321 Reed Street, Suite #2, Akron, IA 51001.

IAR	Location	Phone	IAR	Location	Phone
Christopher Arthur	Coralville, IA	319.626.3464	Matthew Glenn	Cedar Falls, IA	319.242.1086
John Arthur	Coralville, IA	319.626.3464	Michael LaSavage	Hilton Head, SC	419.991.3333
Michael Brannen	West Chester, OH	888.300.4975	Robert LaSavage	Birmingham, MI	419.991.3333
Jacob Chadick	Overland Park, KS	800.551.7684	Thomas McBride	W Des Moines, IA	515.224.1322
Samuel Chadick	Overland Park, KS	800.551.7684	Brian Parker	Covington, LA	985.898.2241
Dale Dobnicker	Liberty Township, OH	419.991.3333	Robert Reidelberger	O'Fallon, IL	800.551.7684
Chad Ericson	Akron, IA	712.568.3973	Duane Roth	Overland Park, KS O'Fallon, IL	800.551.7684
Michael Forbrook	Carol Stream, IL	630.319.0858	Richard Ryan	Cedar Falls, IA Mason City, IA	319.242.1086
Gregory Giles	Sioux City, IA	712.587.8070	Richard Yantis	Cedar Falls, IA	319.504.2498